# Torus actions on homotopy complex projective spaces

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#### Abstract

We prove that an effective action of a torus T on a homotopy  $\mathbb{C}P^m$  is linear if  $m < 4 \cdot \mathrm{rk}(T) - 1$ . Examples show that the bound is optimal. Combining this with a theorem of Hattori we conclude that the total Pontrjagin class of such a manifold is given by the usual formula  $(1 + x^2)^{m+1}$ .

## 1 Introduction

In this paper we study torus actions of large rank on homotopy complex projective spaces. Let T be a torus and let M be a homotopy  $\mathbb{C}P^m$  with smooth T-action. We fix a generator  $x \in H^2(M;\mathbb{Z})$  and denote by  $\gamma$  a T-equivariant complex line bundle over M with first Chern class equal to x.

By restricting the tangent bundle TM and the line bundle  $\gamma$  to T-fixed points one obtains a set of T-representations. The action is called linear if for some linear T-action on  $\mathbb{C}^{m+1}$  the induced action on the canonical line bundle over  $\mathbb{C}P^m$  gives the same representations (see Section 2 for a precise definition).

In [6, 8] Petrie constructed examples of  $S^1$ -actions on homotopy  $\mathbb{C}P^{4r-1}$ 's which are not linear. These "exotic actions" extend to effective actions by a torus of rank r. The linear actions and Petrie's exotic actions are the only known actions on homotopy complex projective spaces. In this paper we show

**Theorem 1.1.** Let M be a homotopy  $\mathbb{C}P^m$  with smooth effective action by a torus T of rank r. If m < 4r - 1 then the T-action is linear.

Petrie conjectured that the total Pontrjagin class of a homotopy  $\mathbb{C}P^m$  is standard (i.e. of the form  $(1+x^2)^{m+1}$ ) if the manifold admits a smooth effective  $S^1$ -action. He proved his conjecture for  $S^1$ -actions which extend to smooth effective actions by a torus of rank m [7]. Hattori has shown that the conjecture is true for linear  $S^1$ -action [2]. Combining his result with Theorem 1.1 gives

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**Corollary 1.2.** Let M be a homotopy  $\mathbb{C}P^m$  which admits a smooth effective action by a torus T of rank r. If m < 4r - 1 then the total Pontrjagin class of M is standard.

It follows from simply-connected surgery theory that for fixed  $m \geq 3$  the set of diffeomorphism classes of homotopy  $\mathbb{C}P^m$ 's is infinite and partitioned into finite subsets by their total Pontrjagin class. Hence, Corollary 1.2 implies

**Corollary 1.3.** For m < 4r - 1,  $m \neq 2$ , the class of homotopy  $\mathbb{C}P^m$ 's which admit a smooth effective action by a torus T of rank r contains only finitely many diffeomorphism types.

- Remark 1.4. a) It is known that a compact manifold M which is homotopically equivalent to  $\mathbb{C}P^m$  has a standard Pontrjagin class if and only if M is tangentially homotopically equivalent to M, i.e., there is a homotopy equivalence  $h \colon M \to \mathbb{C}P^m$  such that the pull back bundle  $h^*T\mathbb{C}P^m$  is stabily isomorphic to the tangent bundle TM. This in turn is equivalent to saying that for some k > 0 the manifolds  $M \times \mathbb{R}^k$  and  $\mathbb{C}P^m \times \mathbb{R}^k$  are diffeomorphic.
  - b) The paper was partly motivated by [11], where it is shown that a simply connected positively curved n-dimensional  $(n \neq 7)$  Riemannian manifold  $(M^n, g)$  that supports an isometric effective action of a r-dimensional torus with  $r \geq \frac{n}{4} + 1$  is homeomorphic to  $\mathbb{S}^n$  or  $\mathbb{H}P^{n/4}$  or homotopically equivalent to  $\mathbb{C}P^{n/2}$ . By Corollary 1.2 the conclusion can be improved to tangentially homotopically equivalent.

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## 2 Basic properties

In this section we recall basic properties of torus actions on integral cohomology  $\mathbb{C}P^m$ 's. These are used in the next section to prove a slightly more general version of Theorem 1.1. As a general reference we recommend [6, 1, 4].

Recall that a smooth closed manifold M is an integral cohomology  $\mathbb{C}P^m$  if  $H^*(M;\mathbb{Z})=\mathbb{Z}[x]/(x^{m+1})$ , where x has degree 2. Any homotopy  $\mathbb{C}P^m$  is an integral cohomology  $\mathbb{C}P^m$ . The converse is true for simply-connected manifolds.

Assume a torus T acts smoothly on M. Let  $\gamma \to M$  be a complex line bundle over M with  $c_1(\gamma) = x$  (the "Hopf bundle"). Hattori and Yoshida have shown that the T-action lifts to  $\gamma$  and any two lifts differ by a complex one-dimensional T-representation [3]. We fix a lift.

Let X be a connected component of the fixed point manifold  $M^T$  and let  $pt \in X$ . By restricting  $\gamma$  to pt one obtains a complex one-dimensional T-representation  $\chi_X$ , the Hopf representation at X. At the fixed point pt the tangent bundle splits as a direct sum of the tangent space of X and the normal representation  $N_X$  which, by definition, is the normal bundle of X restricted to pt. Since X is a trivial T-space the isomorphism class of the real representation  $N_X$  and the isomorphism class of the complex representation  $\chi_X$  are independent of the choice of the point pt in X. Note also that for two connected components  $X,Y \subset M^T$  the isomorphism class of the complex representation  $\chi_Y \cdot \chi_X^{-1}$  is independent of the lift by [3].

It will be convenient to use the following notation: Let  $W_1, W_2$  be two T-representations (real or complex) and  $\widetilde{T} \subset T$ .

- $W_1 \cong W_2$  if  $W_1$  and  $W_2$  are isomorphic as real T-representations.
- $W_1 \cong W_2$  if  $W_1$  and  $W_2$  are complex representations which are isomorphic as complex T-representations.
- $W_1 \cong_{\overline{I}(\widetilde{\mathbb{R}})} W_2$  (resp.  $W_1 \cong_{\overline{I}(\widetilde{\mathbb{C}})} W_2$ ) if  $W_1$  and  $W_2$  are isomorphic as real (resp. complex)  $\widetilde{T}$ -representations.

The localization theorem for cohomology or K-theory leads to strong relations between M and  $M^T$  (cf. [6]; [1], Ch. VII; [4], Ch. VI).

**Proposition 2.1.** Let M be an integral cohomology  $\mathbb{C}P^m$  with smooth T-action. Then the following holds:

- 1. The restriction of  $x \in H^2(M; \mathbb{Z})$  to a connected component  $X \subset M^T$  generates  $H^*(X; \mathbb{Z})$ . In particular, X is an integral cohomology complex projective space.
- 2.  $\dim_{\mathbb{C}} M + 1 = \sum_{X \subset M^T} (\dim_{\mathbb{C}} X + 1)$ .
- 3. Two connected components  $X, Y \subset M^T$  are equal if and only if  $\chi_X \cong_{\mathbb{C}} \chi_Y$ .
- 4. The normal representation  $N_X$ ,  $X \subset M^T$ , admits a T-equivariant complex structure such that

$$\det N_X \cong_{\mathbb{C}} \det \left( \bigoplus_{Y \subset M^T, Y \neq X} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_Y \cdot \chi_X^{-1} \right).$$

Here  $\dim_{\mathbb{C}}$  denotes half of the dimension. If M is the complex projective space  $\mathbb{C}P^m$  and the action is induced by a linear T-action on  $\mathbb{C}^{m+1}$  then the normal representation  $N_X$  at  $X\subset M^T$  is isomorphic to the direct sum of the representations  $(\dim_{\mathbb{C}}Y+1)\cdot\chi_Y\cdot\chi_X^{-1}$ , where the sum runs over the connected components  $Y\subset M^T$  different from X. For an integral cohomology  $\mathbb{C}P^m$  we make the

**Definition 2.2.** The T-action is linear if for every  $X \subset M^T$ 

$$N_X \cong_{\mathbb{R}} \bigoplus_{Y \subset M^T, Y \neq X} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_Y \cdot \chi_X^{-1}. \tag{1}$$

- **Remarks 2.3.** 1. If (1) holds then the tangential representations  $T_{pt}M$ ,  $pt \in X \subset M^T$ , and the Hopf representations  $\chi_X$  are isomorphic to the ones for a T-action on the canonical line bundle over  $\mathbb{C}P^m$  induced by a linear action on  $\mathbb{C}^{m+1}$ .
  - 2. A smooth  $S^1$ -action on an integral cohomology projective space M is linear if  $M^{S^1}$  has less than 4 connected components [9, 10, 12].
  - 3. A smooth torus action on an integral cohomology projective space M is linear if  $\dim_{\mathbb{C}} M < 3$  (to see this apply the last remark and Proposition 2.1, Part 2, to a suitable  $S^1$ -subgroup).

Next we extend the notion of linearity to certain normal subspaces. Let  $\widetilde{T}$  be a subtorus of T,  $\widetilde{V}:=(N_X)^{\widetilde{T}}$  and  $\widetilde{F}$  the connected component of  $M^{\widetilde{T}}$  which contains X.

**Definition 2.4.** The T-action is linear on  $\widetilde{V}$  if

$$\widetilde{V} \cong_{\mathbb{R}} \bigoplus_{Y \subset \widetilde{F}^T, Y \neq X} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_Y \cdot \chi_X^{-1}.$$
 (2)

Note that T acts linearly on M if and only if T acts linearly on  $N_X$  for every connected component  $X \subset M^T$ .

We shall be interested in the case where  $\widetilde{T}$  is the identity component of the kernel of an irreducible T-subrepresentation  $\widetilde{R} \subset N_X$  (i.e.  $\widetilde{T}$  is the maximal subtorus of T acting trivially on  $\widetilde{R}$ ). Let  $T_1, \ldots, T_k$  denote the different subtori arising in this way and let  $V_j := (N_X)^{T_j}$ . Note that  $N_X$  is the direct sum of the  $V_j$  and that two irreducible representations R,  $\widetilde{R}$  belong to the same  $V_j$  if and only if their kernels have the same identity components. The next lemma shows that linearity can be detected locally.

**Lemma 2.5.** The T-action on M is linear if and only if the T-action is linear on  $V_j \subset N_X$  for all X and all  $V_j$ .

**Proof:** Assume the T-action on M is linear. By restricting to trivial  $T_j$ -representations in (1) one obtains

$$V_j \cong_{\mathbb{R}} \bigoplus_{Y \subset M^T, Y \neq X, \chi_Y \cong_{(T_j, \mathbb{C})} \chi_X} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_Y \cdot \chi_X^{-1}.$$

Let  $F_j$  denote the connected component of  $M^{T_j}$  which contains X. By Proposition 2.1  $\chi_Y \cong_{(T_j,\mathbb{C})} \chi_X$  if and only if  $Y \subset F_j$ . Hence, T acts linearly on  $V_j$ .

Next assume that for all X and all  $V_j \subset N_X$  the T-action is linear on  $V_j$ , i.e.

$$V_j \cong_{\mathbb{R}} \bigoplus_{Y \subset F_j^T, Y \neq X} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_Y \cdot \chi_X^{-1}.$$
 (3)

To show that the T-action on M is linear it suffices to show that T acts linearly on  $N_X$ . Consider a connected component  $Y \subset M^T$  with  $X \neq Y$ . By Proposition 2.1 the representation  $\chi_Y \cdot \chi_X^{-1}$  is nontrivial and hence the identity component of the kernel is a codimension one subtorus  $\widetilde{T}$ . Again by Proposition 2.1 X and Y are contained in the same component  $\widetilde{F}$  of  $M^{\widetilde{T}}$ . This proves  $\widetilde{T} = T_j$  and  $\widetilde{F} = F_j$  for j suitable. Conversely if  $Y \subset F_j$ , then  $T_j$  is necessarily given by the identity component of the kernel of  $\chi_Y \cdot \chi_X^{-1}$ . In summary we can say that Y belongs to precisely one  $F_j$ . Also  $N_X = \bigoplus_j V_j$ . By summing up (3) it follows that T acts linearly on  $N_X$ .

## 3 Proof of Theorem 1.1

In this section we prove Theorem 1.1 for integral cohomology  $\mathbb{C}P^m$ 's by induction on the rank of the action. In the induction step we will use the fact that a T-action is linear if some  $S^1$ -subgroup acts with low codimension.

**Proposition 3.1.** Let M be an integral cohomology  $\mathbb{C}P^m$  with smooth effective T-action. The T-action is linear if one of the following holds:

- 1.  $\operatorname{codim}_{\mathbb{C}} M^{S^1} < 3 \text{ for some } S^1 \subset T.$
- 2.  $\operatorname{codim}_{\mathbb{C}} M^{S^1} = 3$  for some  $S^1 \subset T$  and  $\dim_{\mathbb{C}} M \neq 3$ .

**Proof:** For the  $S^1$ -subgroup itself linearity follows from work of Masuda, Tsukada-Washiyama, Wang, Yoshida and others: If  $M^{S^1}$  has at most 3 connected components then the  $S^1$ -action is linear [9, 10, 12]. By Proposition 2.1 this is the case if  $\operatorname{codim}_{\mathbb{C}} M^{S^1} < 3$ . If  $M^{S^1}$  has more than 3 connected components and if  $\operatorname{codim}_{\mathbb{C}} M^{S^1} = 3$  then the number of connected components is 4 by Proposition 2.1. Masuda has shown that an  $S^1$ -action with 4 fixed point components is linear if the components don't have the same dimension (cf. [5], Lemma 5.4). Since  $\dim_{\mathbb{C}} M \neq 3$  the fixed point component of complex codimension 3 has positive dimension. The other components are isolated fixed points by Proposition 2.1. Hence, T acts linearly by [5]. This completes the proof in the case that the rank of T is one.

So assume the rank of T is  $\geq 2$ . Let  $S^1 \subset T$  be as in the proposition and let  $M_0^{S^1} \subset M^{S^1}$  be a component of minimal codimension. By the above  $S^1$  acts linearly on M. To show linearity for T it suffices to show that the T-action is linear on  $V_j \subset N_X$  for all  $X \subset M^T$  and all  $V_j$  by Lemma 2.5. We claim that T acts linearly on  $V_j$  if  $S^1$  acts non-trivially on  $V_j$  or if

We claim that T acts linearly on  $V_j$  if  $S^1$  acts non-trivially on  $V_j$  or if  $X \not\subset M_0^{S^1}$ . Assume first that  $S^1$  acts non-trivially on  $V_j$ . In particular, T is

generated by  $T_j$  and  $S^1$ . Since  $S^1$  acts linearly on M the  $S^1$ -action is linear on  $V_j$  by Lemma 2.5. Since  $T_j$  acts trivially on  $F_j$  (notation as in the proof of Lemma 2.5) it follows that T acts linearly on  $V_j$ . Next assume  $V_j \subset N_X$  and  $X \not\subset M_0^{S^1}$ . By the previous case we may assume that  $S^1$  acts trivially on  $V_j$  and hence by Proposition 2.1 dim  $\mathbb{C}$   $F_j \leq 2$ . The claim now follows from Remark 2.3.

Next consider the representations  $V_l \subset N_X$ , where X is a component of  $M_0^{S^1} \cap M^T$ . By the above claim we may assume that  $V_l$  is tangential to the fixed point component  $M_0^{S^1}$ . Fix a connected component  $X_0$  of  $M^T$  which is not contained in  $M_0^{S^1}$ , and fix the T-action on the Hopf bundle  $\gamma$  for which  $\chi_{X_0}$  is a trivial T-representation.

Let  $T_j \subset T$  be the identity component of the kernel of  $\chi_X$  and let  $V_j := N_X^{T_j}$ . Since  $F_j$  contains X (by definition) and  $X_0$  (apply Proposition 2.1, Part 3, to  $T_j$ )  $S^1$  acts non-trivially on  $F_j$ . Let  $\nu_{F_j}$  denote the normal bundle of  $F_j \subset M$ . By construction  $V_l \subset \nu_{F_j}$ . To understand the T-action on  $V_l \subset N_X^{S^1} = (\nu_{F_j}|_{pt})^{S^1}$  we will compare  $\nu_{F_j}|_{pt}$  with  $\nu_{F_j}|_{q_0}$ ,  $q_0 \in X_0$ , and use the established linearity at  $X_0$ .

Note that  $\nu_{F_j|pt}\cong_{(T_j,\mathbb{R})}\nu_{F_j|q_0}\cong_{\mathbb{R}}N_{X_0}\ominus N_{X_0}^{T_j}$ . Since T acts linearly on  $N_{X_0}$ 

$$N_{X_0} \cong_{\mathbb{R}} \bigoplus_{Y \subset M^T, Y \neq X_0} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_Y \cdot \chi_{X_0}^{-1} \cong_{(T_j, \mathbb{C})} \bigoplus_{Y \subset M^T, Y \neq X_0} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_Y \cdot \chi_X^{-1}.$$

By Proposition 2.1, Part 3,  $\chi_Y \cdot \chi_X^{-1}$  is a trivial  $T_j$ -representation if and only if  $Y \subset F_j$ . Hence,

$$\nu_{F_{i|pt}} \cong_{(T_{j},\mathbb{R})} N_{X_{0}} \ominus N_{X_{0}}^{T_{j}} \cong_{(T_{j},\mathbb{R})} \bigoplus_{Y \subset M^{T}, Y \not\subset F_{i}} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_{Y} \cdot \chi_{X}^{-1}. \tag{4}$$

Recall that T acts linearly on all subrepresentations  $V_i$  of  $\nu_{F_i|pt} \ominus (\nu_{F_i|pt})^{S^1}$ . Hence,

$$\nu_{F_{i}|pt} \ominus (\nu_{F_{i}|pt})^{S^{1}} \cong_{\mathbb{R}} \bigoplus_{Y \subset F_{i}^{T}, i \neq j, Y \neq X, (V_{i})^{S^{1}} \neq V_{i}} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_{Y} \cdot \chi_{X}^{-1}. \quad (5)$$

Note that  $\{Y \subset F_i^T \mid Y \neq X, \ V_i \subset (\nu_{F_j|pt})^{S^1}\} \subset \{Y \subset M^T \mid Y \not\subset F_j\}$  is the complement of the index set of the direct sum in (5). Thus (4) and (5) imply

$$(\nu_{F_{i}|pt})^{S^{1}} \cong_{(T_{j},\mathbb{R})} \bigoplus_{Y \subset F_{i}^{T}, Y \neq X, \ V_{i} \subset (\nu_{F_{i}|pt})^{S^{1}}} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_{Y} \cdot \chi_{X}^{-1}.$$

Since  $\chi_Y \cdot \chi_X^{-1}$  is a trivial  $S^1$ -representation for  $Y \subset F_i^T$ ,  $V_i \subset (\nu_{F_i|pt})^{S^1}$ , the isomorphism extends to an isomorphism of T-representations

$$(\nu_{F_{j}|pt})^{S^{1}} \cong_{\mathbb{R}} \bigoplus_{Y \subset F_{i}^{T}, Y \neq X, \ V_{i} \subset (\nu_{F_{j}|pt})^{S^{1}}} (\dim_{\mathbb{C}} Y + 1) \cdot \chi_{Y} \cdot \chi_{X}^{-1}.$$
 (6)

By restricting to the trivial  $T_l$ -subrepresentations (for fixed  $l \neq j$ ) on both sides of (6) it follows that T acts linearly on any  $V_l \subset (\nu_{F_j}|_{pt})^{S^1} = N_X^{S^1}$ . This completes the proof of the proposition.

**Theorem 3.2.** Let M be an integral cohomology  $\mathbb{C}P^m$  with effective smooth action by a torus T of rank r. If m < 4r - 1 then the T-action is linear.

**Proof:** We prove the statement for almost effective actions (i.e. actions with finite kernel) by induction on the rank of the action. If r=1 then  $\dim_{\mathbb{C}} M \leq 2$  and the T-action is linear as pointed out before. So assume  $r \geq 2$ .

Let X be a connected component of  $M^T$ . By Lemma 2.5 it suffices to show that T acts linearly on every  $V_i \subset N_X$ .

For fixed  $V_i \subset N_X$  let  $V_{max}$  be a maximal element (with respect to inclusion) of the set of representations

$$\{V \subset N_X \mid V_i \subset V, V^{S^1} = V \text{ for some subgroup } S^1 \subset T\}$$

and let  $S^1$  denote the subtorus of T which acts trivially on  $V_{max}$ . Since  $V_{max}$  is maximal a complementary subtorus  $\widetilde{T} \subset T$  of rank r-1 acts almost effectively on  $V_{max}$ .

Let  $M_0^{S^1}$  denote the connected component of  $M^{S^1}$  which contains X. By Proposition 2.1  $M_0^{S^1}$  is an integral cohomology complex projective space. Note that  $\widetilde{T}$  acts almost effectively on  $M_0^{S^1}$ .

If  $\dim_{\mathbb{C}} M_0^{S^1} < 4r-5$  then  $\widetilde{T}$  acts linearly on  $M_0^{S^1}$  by the induction hypothesis. In this case  $\widetilde{T}$  acts linearly on  $V_i$  which implies the same for the T-action on  $V_i$ . If  $\operatorname{codim}_{\mathbb{C}} M_0^{S^1} < 3$  then T acts linearly on M by Proposition 3.1. The remaining case  $(\dim_{\mathbb{C}} M = 4r - 2 \neq 3 \text{ and } \operatorname{codim}_{\mathbb{C}} M_0^{S^1} = 3)$  also follows from Proposition 3.1.

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